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FAX

To:	Company	Fax #:	Telephone #:
1) Ms. Delora Dillard	USPTO - OIPE	703-305-9822	703-305-7654
2)			
3)			
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From: Jerome Massie	Date: November 15, 2001	No. of Pages: (including this page)	
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Comments:

Ms. Dillard, Please find attached our recorded assignment papers received back from the USPTO, please note that the 3rd page of the declaration sent in with the application papers became associated with the assignment papers and tagged along with the assignment. I am also including in this fax a copy of our original filing transmittal, the declaration signed by all inventors (3 pages) and our USPTO stamped postcard receipt. If you have any other questions please contact me at my direct number 703-770-9362.

Thanks for your assistance in this matter. If a filing receipt will be generated as a result of the above submissions, can you please give me a call so I can inform the applicants?

Jerry

CERTIFICATE OF TRANSMISSION

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From: Jerome Massie	Date: November 15, 2001	No. of Pages:	Client/Matter: 742433-9
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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	2433-09
		First Inventor	Shu-Yi YEN et al
		Title	PHY SIGNAL CONTROL DEVICE AND METHOD FOR SELECTIVELY GENERATING A SPECIFIC WARNING DATA
		Express Mail Label No.	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 15] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Figs 1-7 [Total Sheets 4] 5. Oath or Declaration [Total Sheets 3] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
ACCOMPANYING APPLICATION PARTS		
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document, Taiwan no. 889125451 Filed November 30, 2000 (if foreign priority is claimed) 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____


Prior application information:

Examiner: _____

Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label 22204 (Insert Customer No. or Attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Donald R. Studebaker		
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	8180 Greensboro Drive, Suite 800		
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Name (Print/Type)	Donald R. Studebaker	Registration No. (Attorney/Agent)	32,815
Signature		Date	4/19/01

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Receipt is acknowledged of: April 19, 2001

In re the New Patent Application of: Shu-Yi YEN et al

Based on Taiwan Application No. 089125451 Filed November 30, 2000

For: PHY SIGNAL CONTROL DEVICE AND METHOD FOR SELECTIVELY GENERATING A SPECIFIC WARNING DATA

Utility Patent Application Transmittal and Fee Transmittal (duplicate)

Specification, claims and abstract

Original Executed Declaration and Power of Attorney

Original Assignment and Recordation Transmittal

Certified Copy of Taiwan Priority Document no. 089125451 Filed November 30, 2000

Four (4) Sheets of drawings (Figs. 1-7)

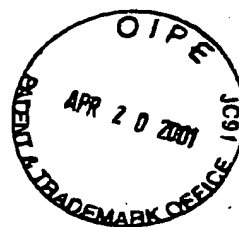
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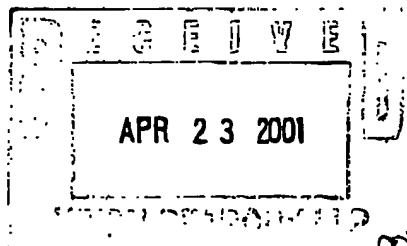
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